

## PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: **Mail Stop ISSUE FEE**  
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INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as stated unless directed below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for maintenance fee notifications.

CURRENT CORRESPONDENCE ADDRESS (Name: Use Block 1 for any change of address)

28995 7590 10/18/2007

**RALPH E. JOCKE**  
 walker & jocke LPA  
 231 SOUTH BROADWAY  
 MEDINA, OH 44256

Note: A certificate of mailing can only be used for domestic mailings of the Fee(s) Transmittal. This certificate cannot be used for any other accompanying papers. Each additional paper, such as an assignment or formal drawing, must have its own certificate of mailing or transmission.

## Certificate of Mailing or Transmission

I hereby certify that this Fee(s) Transmittal is being deposited with the United States Postal Service with sufficient postage for first class mail in an envelope addressed to the Mail Stop ISSUE FEE address above, or being facsimile transmitted to the USPTO (571) 273-2885, on the date indicated below.

(Depositor's name)
(Signature)
(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/863,911	05/23/2001	Steven Shepley	D-1140	1612

TITLE OF INVENTION: AUTOMATED TRANSACTION MACHINE SYSTEM AND METHOD

APPLN. TYPE	SMALL ENTITY	ISSUE FEE DUE	PUBLICATION FEE DUE	PREV. PAID ISSUE FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1440	\$300	\$0	\$1740	01/18/2008
EXAMINER	ART UNIT	CLASS-SUBCLASS	01/14/2008 NNGUYEN2 00000891 090428 09863911			
HESS, DANIEL A	2876	235-379000	01 FC:1501 02 FC:1504	1440.00 DA 300.00 DA	42.00 DA	CHRISTOPHER L. PARMELEE

1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

- ☐ Change of correspondence address (or Change of Correspondence Address form PTO/SB/122) attached.
- ☐ "Fee Address" indication (or "Fee Address" Indication form PTO/SB/47; Rev 03-02 or more recent) attached. Use of a Customer Number is required.

2. For printing on the patent fee(s) label:
- (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,
- (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1. CHRISTOPHER L. PARMELEE  
 2. RALPH E. JOCKE  
 Walker & Jocke LPA  
 3.

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

Diebold, Incorporated

(B) RESIDENCE: (CITY and STATE OR COUNTRY)

North Canton, Ohio

Please check the appropriate assignee category or categories (will not be printed on the patent): ☐ Individual ☒ Corporation or other private group entity ☐ Government

4a. The following fee(s) are submitted:

- ☒ Issue Fee
- ☒ Publication Fee (No small entity discount permitted)
- ☒ Advance Order - # of Copies 14

4b. Payment of Fee(s): (Please first reapply any previously paid issue fee shown above)

- ☐ A check is enclosed.
- ☐ Payment by credit card. Form PTO-2038 is attached.
- ☒ The Director is hereby authorized to charge the required fee(s), any deficiency, or credit any overpayment, to Deposit Account Number 09-0428 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

- ☐ a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27. ☐ b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

NOTE: The Issue Fee and Publication Fee (if required) will not be accepted from anyone other than the applicant; a registered attorney or agent; or the assignee or other party in interest as shown by the records of the United States Patent and Trademark Office.

Authorized Signature

Ralph E. Jocke

Typed or printed name

Date 1/14/2008

Registration No. 31,029

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In Re Application of:	)	
Steven Shepley, et al.	)	
Serial No.: 09/863,911	)	Art Unit
	)	2876
Confirm. No.: 1612	)	
	)	
Filed: May 23, 2001	)	Primary Examiner
	)	Daniel A. Hess
For: AUTOMATED TRANSACTION	)	
MACHINE SYSTEM AND	)	
METHOD	)	

Mail Stop ISSUE FEE  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

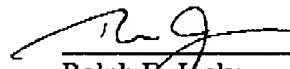
In response to the Notice of Allowability dated October 18, 2007 kindly enter Applicants' comments on Statement for Reasons for Allowance without prejudice as follows:

**Comments on Statement of Reasons for Allowance**

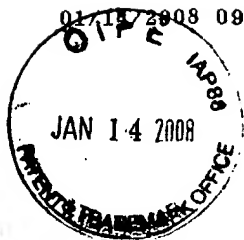
It is respectfully submitted that while the specific features and relationships mentioned in the Statement of Reasons for Allowance are not disclosed or suggested in the prior art, these are not the only reasons for allowance. For example, not all of the claims specifically recite the term "Open Device Services" layer (e.g. independent claims 37 and 42).

Applicants disagree with all undue limiting remarks in the Statement. It should be understood that the allowed subject matter is to only be directed to that subject matter which is recited in the claims. Any remarks in the Statement which add to or subtract from the recited subject matter should be disregarded.

Respectfully submitted,



Ralph E. Jocke      Reg. No. 31,029  
WALKER & JOCKE  
231 South Broadway  
Medina, Ohio 44256  
(330) 721-0000



Walker  
&  
Jocke  
a legal professional association

Ralph E. Jocke  
Patent  
&  
Trademark Law

**FACSIMILE TRANSMISSION COVER SHEET**

TRANSMITTING: 4 PAGES (INCLUDING THIS PAGE)

NAME: **Mail Stop Issue Fee**  
Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450

FAX NUMBER: (571) 273-2886

DATE: January 14, 2008

SENDER: Ralph E. Jocke Esq.

FAX OF SENDER (330) 722-6446

In Re Application of: **Shepley, et al.**Serial No.: **09/863,911**Confirm. No.: **1612**Filed: **May 23, 2001**For: **AUTOMATED TRANSACTION  
MACHINE SYSTEM AND METHOD**Art Unit **2876**

Primary Examiner:

**Daniel A. Hess**Docket: **D-1140**

Please find enclosed an Issue Fee Transmittal form and Comments on Statement of Reasons for Allowance, for filing in the above-referenced Application. Please charge the Issue Fee, Publication Fee and Advanced Order Fee to Deposit Account No. 09-0428 of Diebold Self-Service Systems.

Very truly yours,

Ralph E. Jocke Reg. No. 31,029

**CERTIFICATE OF FACSIMILE TRANSMISSION**

I hereby certify that this document and the documents indicated as enclosed herewith are being sent by facsimile transmission to the U.S. Patent and Trademark Office this 14 day of January, 2008.

  
Ralph E. Jocke330 • 721 • 0000  
MEDINA330 • 725 • 1569  
CLEVELAND330 • 723 • 6446  
FACSIMILErej@walkerandjocke.com  
E-MAIL

231 South Broadway, Medina, Ohio U.S.A. 44256-2601